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2022 Symposium: Small Pelagic Fish  
S5: Progress in Pelagic Surveys  
Lisbon, Portugal  
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# Improved monitoring of forage species in the Gulf of Alaska in the absence of directed surveys

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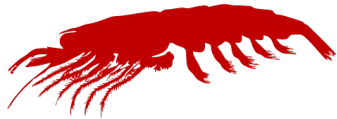
# Forage Species Management in Alaska

No directed fisheries for forage spp. in U.S. federal waters, limited fisheries in Alaska state waters (< 5.5 km, 3 nmi)



## Forage fish group

sand lance, capelin, eulachon, other smelts, deep-sea smelts, myctophids, & krill



## Juvenile groundfish

e.g. walleye pollock, Arctic cod



## Juvenile salmon



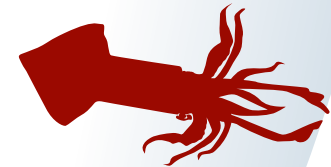
## Juvenile invertebrates

e.g. snow crab



## Herring, shrimp

## Squid

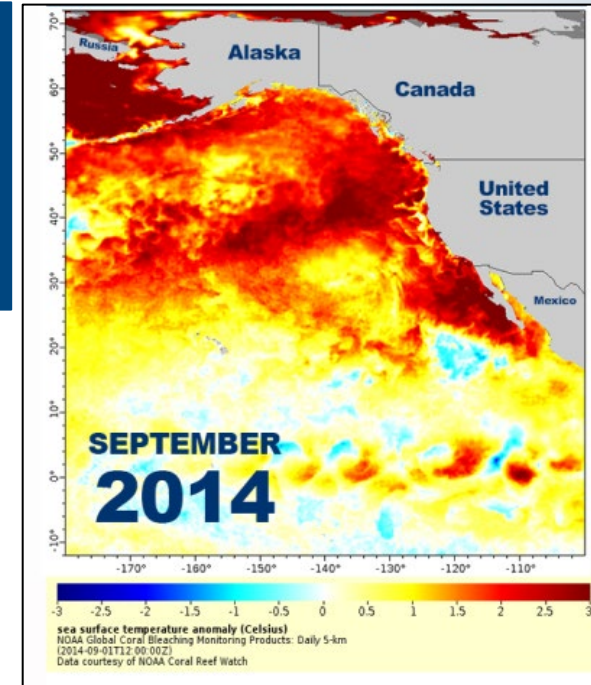


# Ecosystem-based Impacts of Changes in Forage Community in Gulf of Alaska (GOA)

Collapse of key forage species during NE Pacific marine heatwave (2014–2016) coincided with severe impacts to predators (Arimitsu et al. '21)

## Impacts to top pelagic predators:

- Pacific cod declined >70% (Barbeaux et al. '20)
- Seabird mass mortality (Jones et al., '18; Piatt et al. '20)
- Humpback and fin whale mass mortality (Savage '17)
- Decline in humpback whale abundance & reproduction (Arimitsu et al. '21; Neilson & Gabriele '19)



Courtesy of NOAA Coral Reef Watch

## EBFM need to monitor availability of forage species to predators

- Major data gaps persist, primarily due to a lack of directed surveys

# Monitoring in the Absence of Directed Surveys

Forage species data from existing stock assessment surveys designed for other species often limited or biased

## Fish behavior & distribution affect availability to gear:

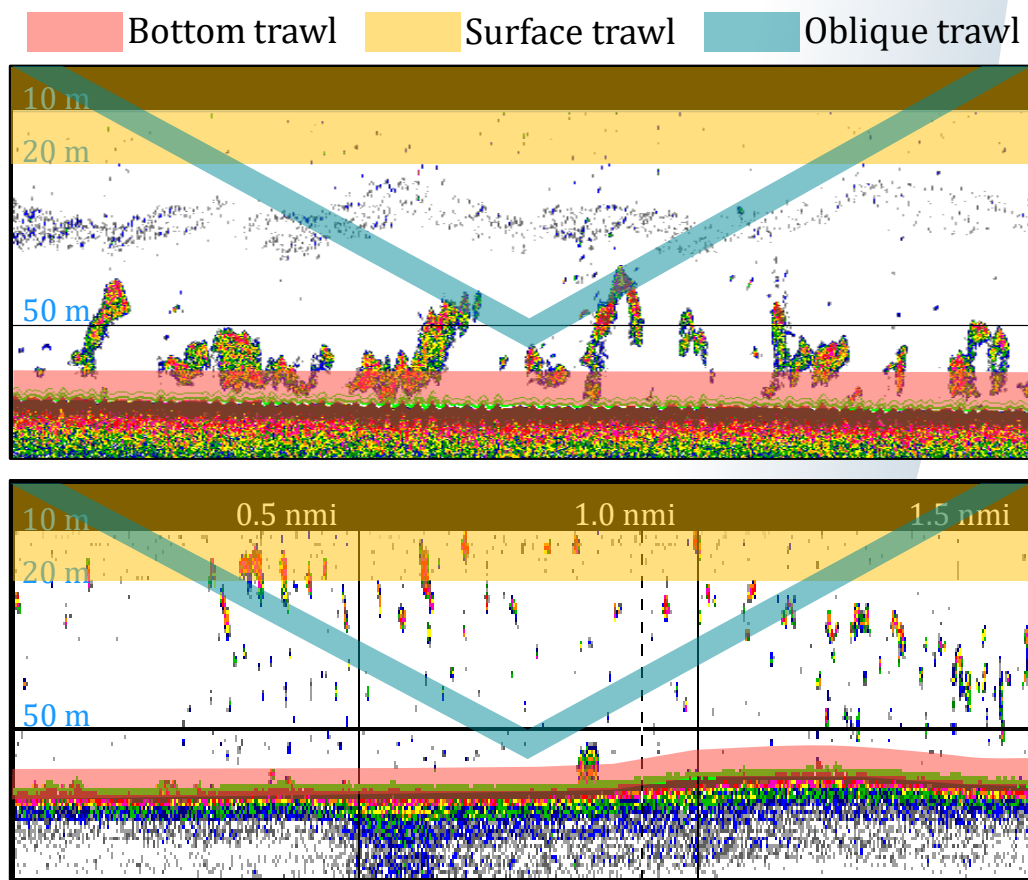
(O'Driscoll et al. '02; McQuinn, '09;  
Parker-Stetter et al. '13; McGowan et al. '20)

## Gear selectivity may bias species & size composition of samples, CPUEs, and abundance estimates

(Williams et al. '11; De Robertis et al. '17a)

## Limited/mismatched spatial and temporal coverage

Water column sampled by gear



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# NOAA Alaska Fisheries Science Center (AFSC) 2022 Forage Species Congress

*Goal: Improve the AFSC's state of knowledge regarding forage species in Alaska's large marine ecosystems and integrate research efforts across programs*

**2-day workshop (spring 2022)**

## **Objectives:**

1. Identify functional forage species & groups in Alaska;
2. Assess forage-related research efforts;
3. Identify major scientific goals for forage research and knowledge gaps;
4. Provide recommendations regarding (1) important ecological and management questions addressed in next 5-7 years & (2) improved coordination of forage research.

Arctic cod



Photo: NOAA

# 2022 AFSC Forage Species Congress

## Key elements in AFSC processed report (2023)

**Identify major goals & knowledge gaps by region (GOA, Bering Sea, Arctic)**

**Recommendations for future research priorities:**

Improved surveys & data collection

- *Modifications to existing surveys: **GOA capelin example***
- *New data collection*
- *Analytic approaches for improved monitoring*

Identify scientific information needed for EBFM

- *Fishery impacts on forage species*
- *Impacts of changes in forage on managed predators*
- *Measure status of ecosystem to support sustainable fisheries; climate change monitoring & projections*

Prioritizing process studies for key forage species



Photo: NOAA



Pacific sand lance

Photo: NOAA

# Improvements in Monitoring of Forage Species

## Case study: *Gulf of Alaska Pacific capelin (Mallotus catervarius)*

### Synthesis of existing, limited data

### Improving existing data sources

- Improving retention of small pelagic fish
- Accounting for sampling bias and/or gear selectivity
- Expanding single-species focus to multi-species



### Model-based approaches for improved abundance time series

- Spatio-temporal models
- Combining survey- and predator diet-based indices

## Incorporating new technologies in monitoring

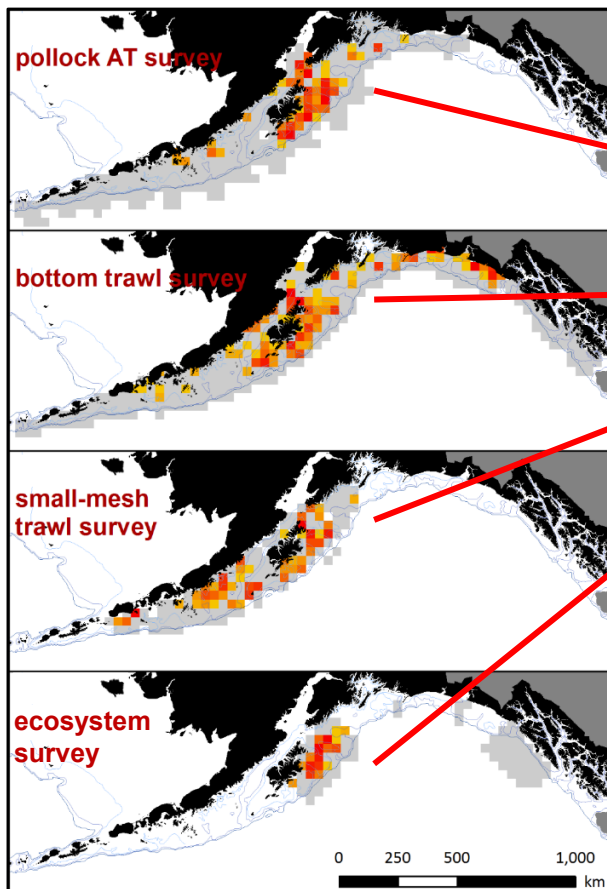
Augmenting existing surveys with an uncrewed surface vehicles (USV)

Using additional data sources (eDNA, video) to classify acoustic data

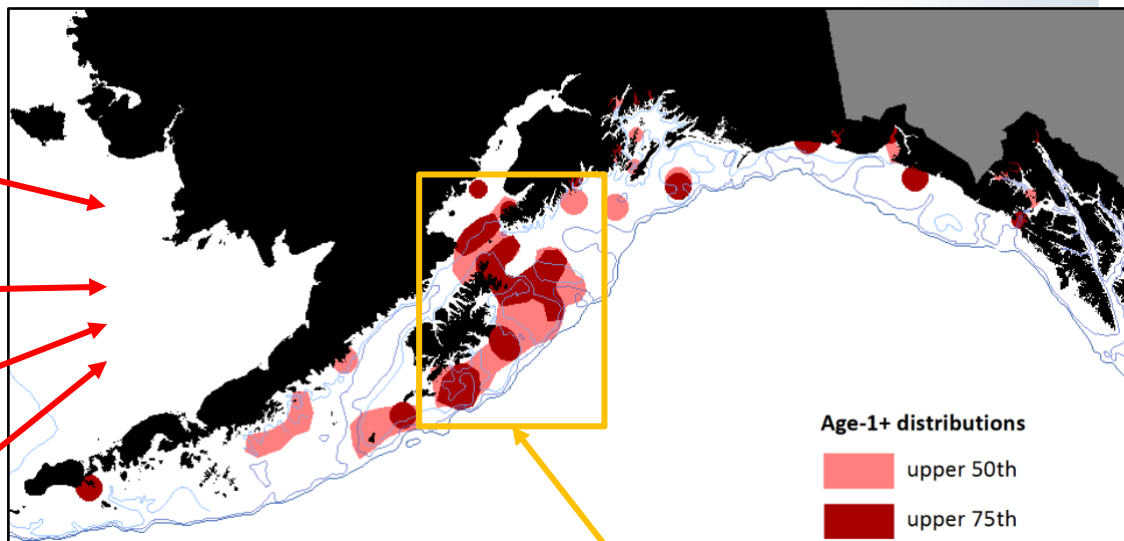
# Data Synthesis: Characterizing Spatial Patterns

Integrate multiple data sources to identify core areas where capelin concentrate → informs where to prioritize monitoring

## Normalized density by survey

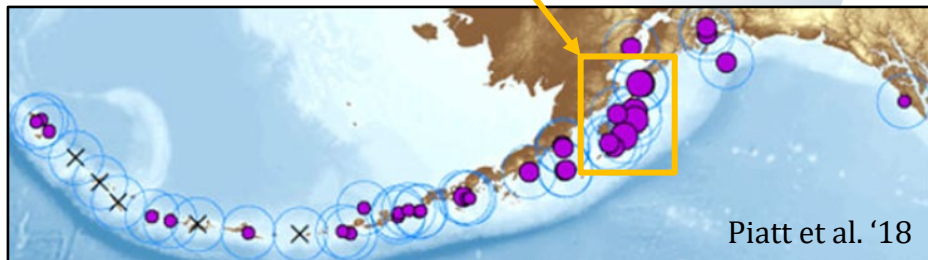


## Composite spatial pattern based on all surveys



McGowan et al. '20

## Capelin in groundfish diets



Piatt et al. '18



# Gulf of Alaska Pollock Acoustic-Trawl (AT) Survey

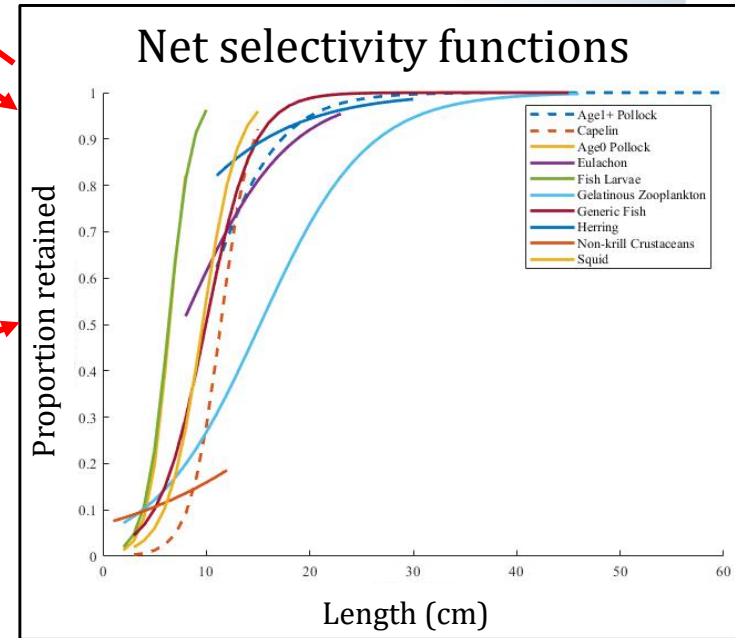
Improving retention of small pelagic fish and correcting for species- & length-related trawl selectivity

Switch to fine-mesh codend liner ( 3.2 → 1.3 → 0.3 cm) to retain smaller fish/organisms

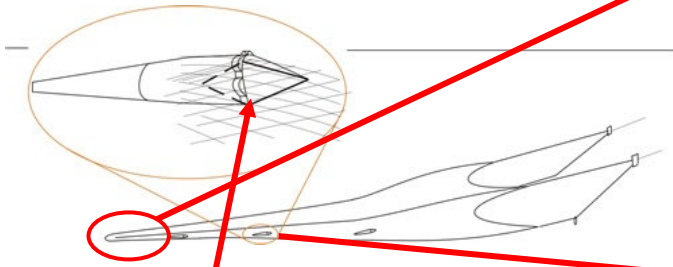
Use recapture nets to estimate species-specific selectivity correction functions  
(Williams et al. '11; De Robertis et al. '17a)



Trawl-derived estimates of species & size composition adjusted by probability of escapement of all organisms



Levine et al. *In prep*



# GOA Pollock AT Survey: Multi-species Allocation

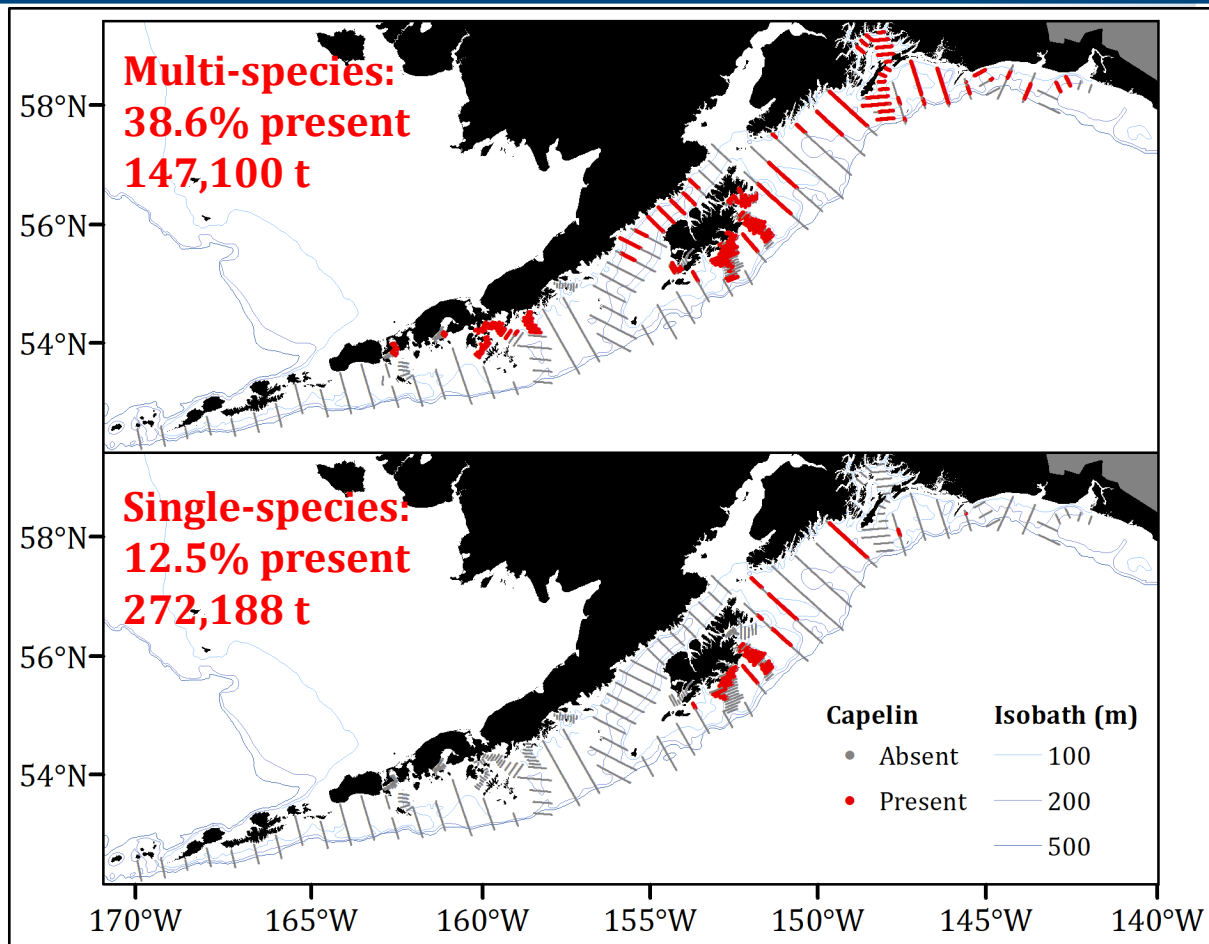
Allocate acoustic data among all species in trawl catch instead of only to dominant single species

**Acoustic backscatter allocated to all observed species using selectivity-corrected trawl catch**

(De Robertis et al. '17b)

Increases capelin occurrence frequency from low density observations & mixed catches

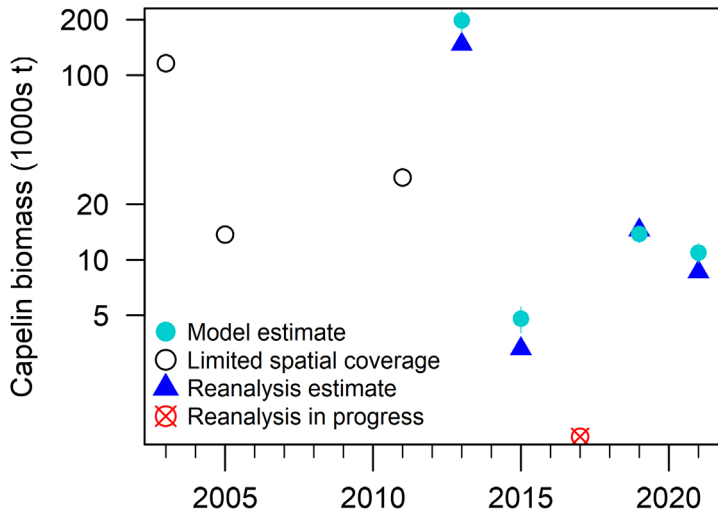
46% decline capelin biomass



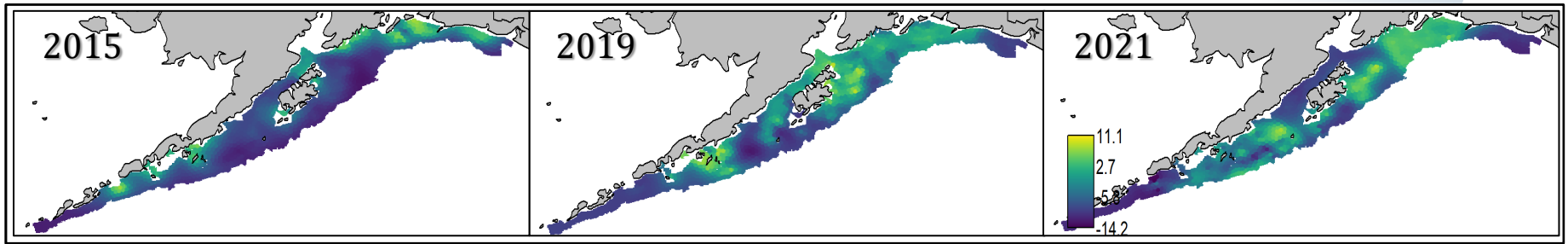
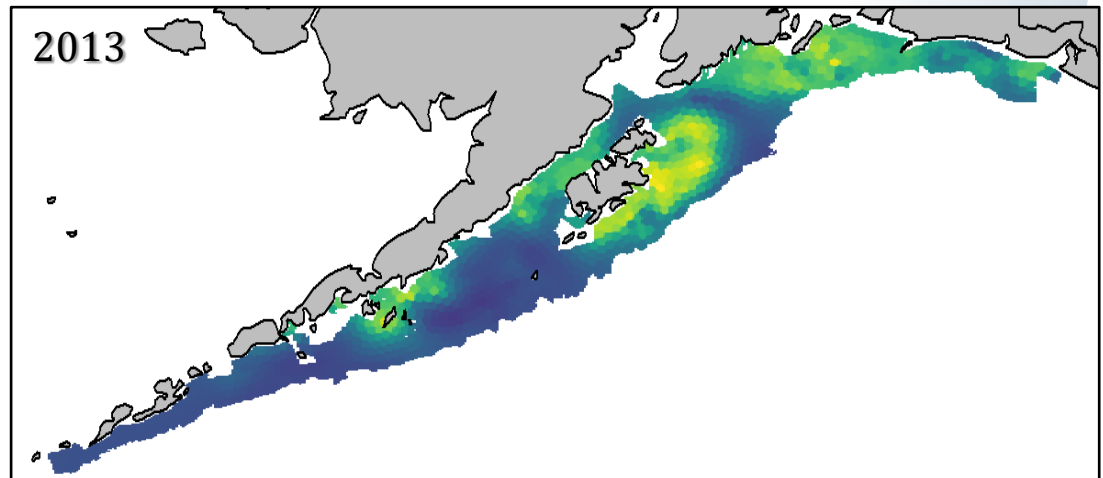
# GOA Pollock AT Survey: Capelin Abundance Index

Reanalysis of historical surveys (2013–2021, '17 in progress)  
Limited spatial coverage pre-2013 → use model-based estimator?

## Capelin Index of Abundance



## Vector Autoregressive Spatio-temporal (VAST) GLMM (Thorson & Barnett '17; Thorson '19)

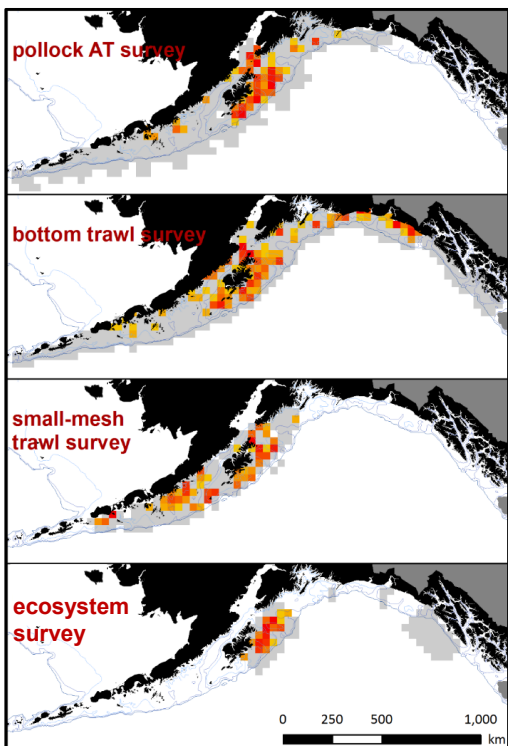


# Developing Index Standardization Models

**FUTURE:** extend capelin time series by combining multiple survey- and predator diet-based indices in VAST

## Combining multiple survey-based indices

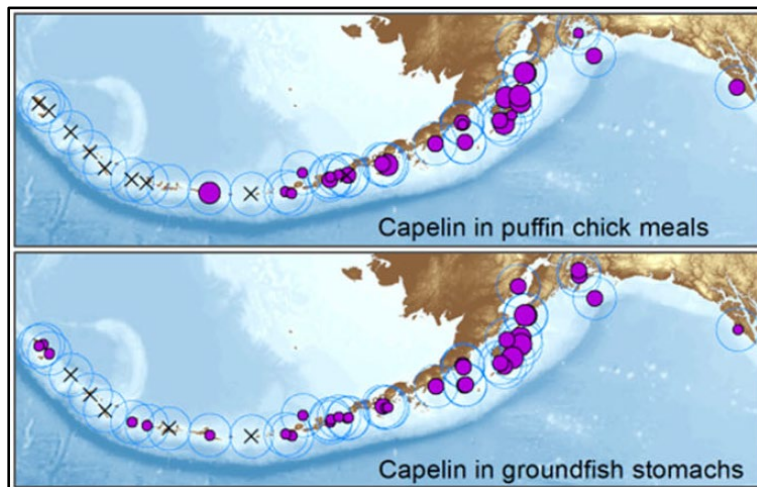
- Fit spatial model to two seasonal surveys to estimate ratio of catchability (Perretti & Thorson '19)
- Vertically integrated index for combining bottom & acoustic-trawl surveys (Monnahan et al. '21)



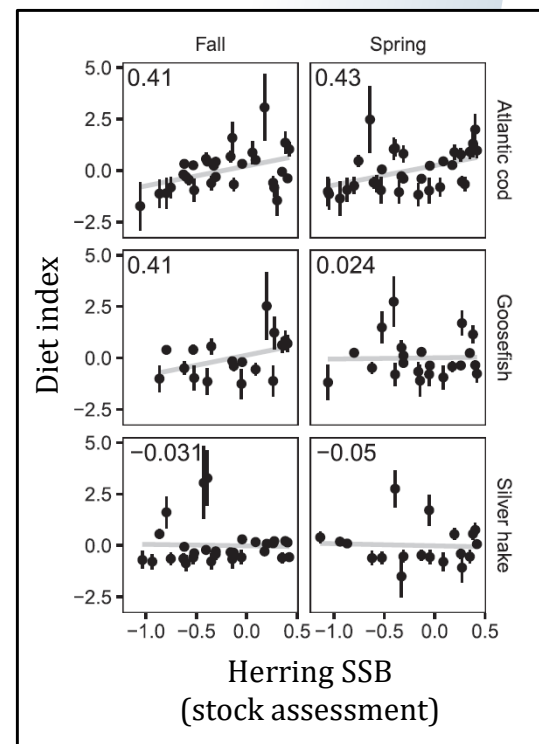
McGowan et al. '20

## Predator diet-based indices

Predator stomach contents used to estimate indices of prey biomass (Grüss et al. '20; Ng et al. '21)



Piatt et al. '18



Ng et al. '21

# Future Improvements to Surveys & Data Collection

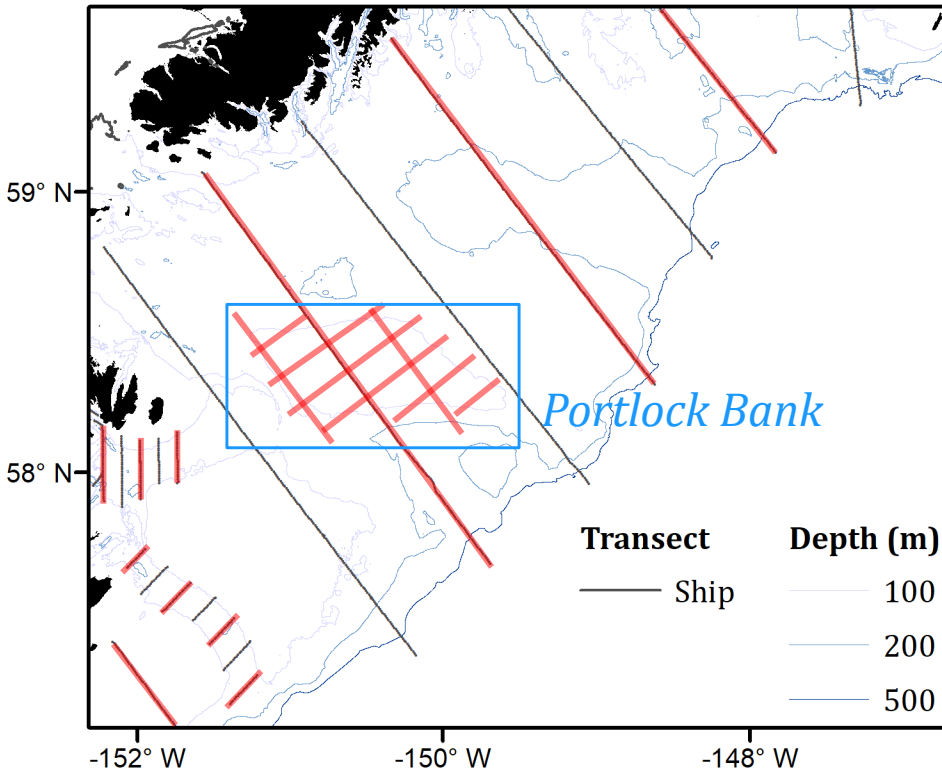
Using uncrewed surface vehicle (USV) to augment existing ship-based acoustic-trawl survey

Free up ship time for increased trawling

Supplemental sampling of areas of interest

## iXblue DriX USV

Diesel-powered, autonomous acoustic sampling at survey speed

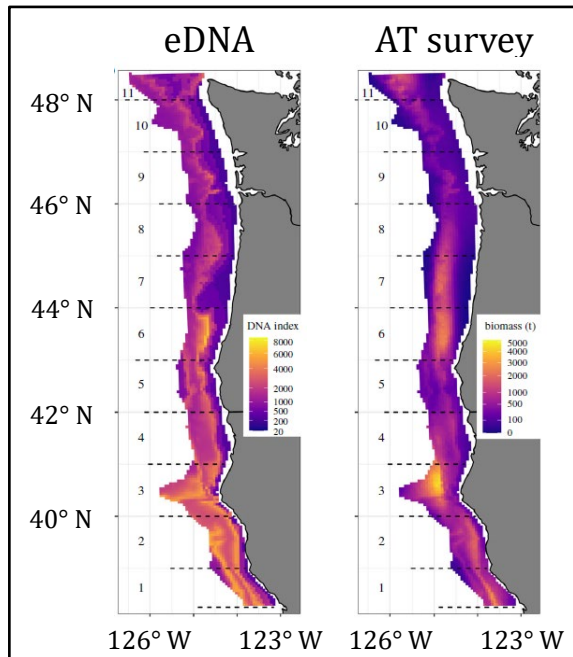


# Future Improvements to Surveys & Data Collection

Estimating species ID and density using new ground-truth sources (eDNA, video) with broadband acoustics & Bayesian models from surveys lacking targeted trawls

**Spatial patterns of hake from acoustics and eDNA similar at broad (1° N) scales**

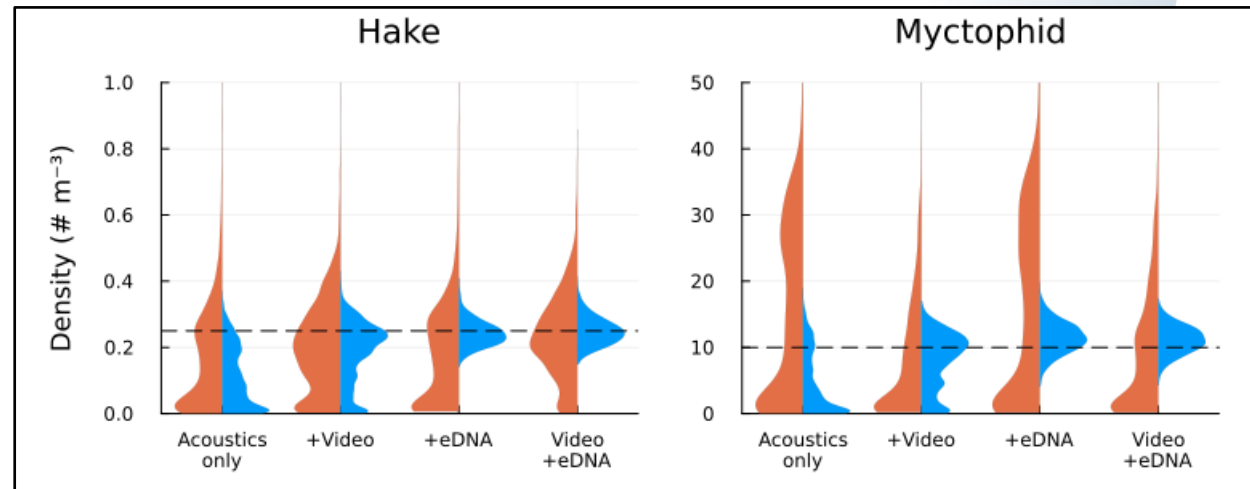
(Shelton et al. '22)



**Simulation: Video + eDNA don't measure hake density, but improve acoustic estimates by constraining other species in Bayesian model**

**Broadband acoustics improve further**

(Urmy et al. *In review*)



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MACE Program colleagues

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2022 AFSC Forage Species Congress Steering Committee

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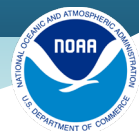
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